Visual Modeling of Synchronization Methods for Concurrent Objects in Ada 95[1]

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Abstract

One important role for Ada programming is to aid engineering of concurrent and distributed software. In a concurrent and distributed environment, objects may execute concurrently and need to be synchronized to serve a common goal. Three basic methods by which objects in a concurrent environment can be constructed and synchronized have been identified [1]. To formalize the semantics of these methods and to provide a visual model of their core behavior, we provide some graphic models based on the Petri net formalism. Models for the three distributed-object synchronization methods are discussed, and a potential deadlock situation for one of the methods/models is illustrated. We conclude with some comparison of the three methods in terms of the model abstractions.

1. Introduction

With the growing interest in concurrent and distributed computing applications, there is significant value in new capabilities to support the engineering of distributed software. One of the principle objectives of concurrent and distributed programming is to coordinate the behavior of concurrent tasks. This is aided by using object-oriented techniques in combination with concurrent programming. The resultant software systems consist of objects that execute concurrently and need to be synchronized to serve a common goal. There might be situations where access to an object is required by more than one other object or task. In such cases, it is vital to enforce synchronization. For example, consider a printer as an object. The services of this printer object (server) might be required by multiple tasks (clients). This would require synchronization among the client tasks so that only one task at a time can gain access to the printer object. There are many such practical situations where synchronization is very important. Thus, synchronization among tasks accessing an object is a critical issue.

In the specific context of Ada-95 [2], Burns and Wellings have identified three methods to introduce synchronization among objects in a concurrent environment [1]. These methods are listed as follows:

1. Synchronization is added if and when it is required, by extending the object.
2. Synchronization is provided by the base (root) object type.
3. Synchronization is provided as a separate protected type and the data is passed as a discriminant.

Unfortunately, these methods can be difficult to understand due to the lack of an abstraction formalism. We have used Petri nets [3] to formalize the behavior of these methods. Because Petri nets are graphically

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based, the models provide a visualization result with well-defined dynamic behavior. Petri nets provide a graphic model that supports the fundamental concepts of concurrency, synchronization, and nondeterminism. In Petri net models, conditions are represented by "place nodes," depicted as circles, and events are represented by "transition nodes," depicted by bars. Although we are not yet ready to discuss Figure 1, it provides an example of a Petri net graph. Note that directed arcs connect the place and transition nodes and thus provide a logical connection between the holding of conditions and the occurrence of events. The other key component of a Petri net is its marking, which is a distribution of *tokens* to place nodes. Tokens are represented by black dots, as can be seen in the place labeled L in Figure 1. As events occur (i.e., transitions fire), tokens are consumed from input places and deposited into output places. Due to lack of space in this paper, we are not able to provide a more complete introduction to Petri nets. Full details on this model, including associated analysis techniques, can be found in other references (e.g., [3]). There does exist some other published work on Petri net modeling for Ada. Among the earliest work is that of Mandrioli, et al [4], which focused on using Petri nets to provide a formal semantic for the basic tasking mechanisms of Ada-83. Earlier work by our own research group investigated the use of Petri nets for development of tools and techniques for automated concurrency analysis of software based on Ada tasking [5-6]. Again the focus was on Ada-83. More recent work provided a formal description of Ada-95 tasking constructs, such as the asynchronous transfer of control and requeue statement [7].

In the remainder of this paper, we will explain the various object synchronization methods and present associated net models for illustrative examples using these methods. We also discuss a potential deadlock situation and compare the three synchronization methods at the model level.

2. Synchronization for Concurrent Objects

2.1 Synchronization added by extending the object

Let us first consider the method where synchronization is added if and when it is required by extending the object. This is the most general approach that could be followed to construct objects in a concurrent environment. The object is defined as tagged and extended to facilitate synchronization. We remind the reader that this technique was introduced in [1]. Consider the following simple example:

```
package Object is
   procedure Op1 (O : in out Obj_Type);
   procedure Op2 (O : in out Obj_Type);
private
   type Obj_Type is tagged limited record … end record;
end Object;

package Object.Synchronized is
   type Protected_Type is new Obj_Type with private;
   procedure Op1_syn (O : in out Protected_Type);
   procedure Op2_syn(O : in out Protected_Type);
private
   type Protected_Type is new Obj_Type with
     record
       L : Mutex;
     end record;
end Object.Synchronized;
```

Type Mutex provides a simple mutual exclusion lock, and is defined by a protected type as follows:

```
protected type Mutex is
   entry Lock;
   entry Unlock;
end Mutex;
```

Procedures Op1_syn and Op2_syn in Object.Synchronized can be defined to call the procedures Op1 and Op2, defined in Object, and to include the synchronization facilities:

```
procedure Op1_syn (O : in out Protected_Type) is
begin
   O.L.Lock;
   Op1(Obj_Type(O));
   O.L.Unlock;
end Op1;

procedure Op2_syn (O : in out Protected_Type) is
begin
   O.L.Lock;
   Op2(Obj_Type(O));
   O.L.Unlock;
end Op2;
```

In this example, procedure Op1_syn takes O, a parameter of type Protected_Type, and makes an attempt to gain the lock. Then the procedure Op1, defined in Object, is called by passing Obj_Type(O) as a parameter. After the execution of the procedure Op1, entry Unlock is called to release the Lock. Procedure Op2_syn has behavior similar to procedure Op1_syn.

Now consider the following extension of the package Object.Synchronized:

```
package Object.Synchronized.Extended is
   type Extended_Protected_Type is new Protected_Type with private;
   procedure Op1_ext (O : in out Extended_Protected_Type);
   procedure Op2_ext (O : in out Extended_Protected_Type);
   procedure Op3_ext (O : in out Extended_Protected_Type);
private
   type Extended_Protected_Type is new Protected_Type with record … end record;
end Object.Synchronized.Extended;

procedure Op1_ext (O : in out Extended_Protected_Type) is
begin
   O.L.Lock; Op1(Obj_Type(O)); O.L.Unlock;
end Op1;

procedure Op2_ext (O : in out Extended_Protected_Type) is
begin
   O.L.Lock; Op2(Obj_Type(O)); O.L.Unlock;
end Op2;

procedure Op3_ext (O : in out Extended_Protected_Type) is
begin
   O.L.Lock;
   -- data processing
   O.L.Unlock;
end Op3;

…
```

```
O : Extended_Protected_Type;
Op1_ext(O);
…
```

Here, procedures Op1_ext and Op2_ext are defined to have the same functional behavior as the procedures Op1_syn and Op2_syn, defined in package Object.Synchronized. The only difference is the formal parameter type, which is defined as Extended_Protected_Type. Procedure Op3_ext is a new procedure that is added to package Object.Synchronized.Extended.

The Object.Synchronized.Extended object can be modeled by a single Petri net as shown in Figure 1. In this model, place *L* provides for mutual exclusion on the execution of procedure Op1_ext, Op2_ext and Op3_ext, which are represented as transitions *op1_ext*, *op2_ext* and *op3_ext* respectively. To understand the model behavior, assume that task *A* calls Op1_ext and task *B* calls Op2_ext simultaneously. Both transitions *op1_ext* and *op2_ext* can fire, resulting in a token in both places *a* and *e*. Under this condition, both transitions *lock_op1_ext* and *lock_op2_ext* will be enabled at the same time. However, due to the conflict firing these two transitions (i.e., the competition for the token in place *L*), only one of them will succeed. The other transition must wait until the procedure defined in package Object completes and the lock is released. The synchronization involving calls to Op3_ext is similar.

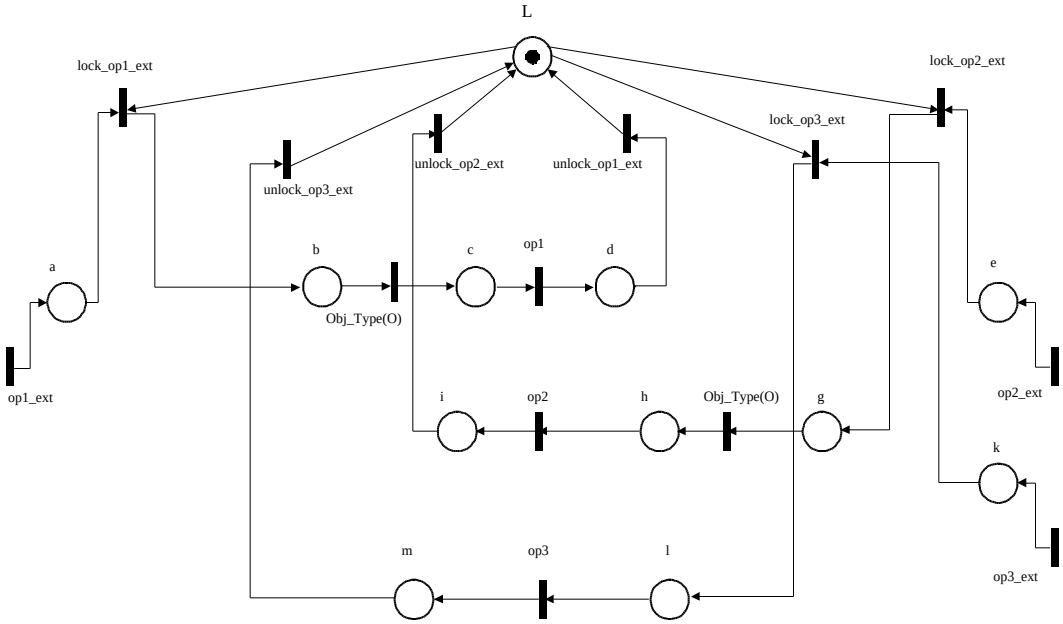

Figure 1. Object.Synchronized.Extended model

2.2 Synchronization provided by the base object type

The second method of providing synchronization to objects in a concurrent environment is synchronization provided by the base object type. In this method the base object incorporates the synchronization

mechanism. Consider a similar example as discussed in the first method. In this case the mutual exclusion lock is declared in the base object. The Ada code for this would be as follows:

```
package Protected_Object is
   type Protected_Type is abstract tagged limited private;
   procedure Class_Wide_Op1 (O : in out Protected_Type'Class);
   procedure Class_Wide_Op2 (O : in out Protected_Type'Class);
private
   type Protected_Type is abstract tagged limited
      record
         L : Mutex;
      end record;
   procedure Op1 (O : in out Protected_Type) is abstract;
   procedure Op2 (O : in out Protected_Type) is abstract;
end Protected_Object;
```

In this example, we declare the procedures Op1 and Op2 to be abstract and private, and the class-wide operations, *Class_Wide_Op1* and *Class_wide_Op2*, are being made the only interfaces that are exported from the package. Extensions of the base object must implement the procedures Op1 and Op2. The class-wide operations can now be defined in the package body as follows:

```
procedure Class_Wide_Op1 (O : in out Protected_Type'Class) is
begin
   O.L.Lock;
   Op1(O);                    -- dispatch to correct operation
   O.L.Unlock;
end Class_Wide_Op1;

procedure Class_Wide_Op2 (O : in out Protected_Type'Class) is
begin
   O.L.Lock;
   Op2(O);                    -- dispatch to correct operation
   O.L.Unlock;
end Class_Wide_Op2;
```

The following code demonstrates the usage of this Protected_Object:

```
package Protected_Object.My_Object is
   type My_Object_Type is new Protected_Type with record … end record;
private
   procedure Op1 (O : in out My_Object_Type);
   procedure Op2 (O : in out My_Object_Type);
end Protected_Object.My_Object;
```

This method uses the dynamic dispatching mechanism. Depending on the object type of the object that is passed as a parameter in the call to the procedure, the runtime system directs the call to the appropriate code defined for that object type. The type can be extended, retaining the mutual exclusion, as long as the operations are called with the class-wide operator. For example, one extension of the above is as follows:

```
package Protected_Object.My_Object.Extended is
   type Extended_Protected_Type is new My_Object_Type with private;
   procedure Class_Wide_Op3 (O : in out Extended_Protected_Type'Class);
private
   type Extended_Protected_Type is new My_Object_Type with record … end record;
```

```
   procedure Op1 (O : in out Extended_Protected_Type);
   procedure Op2 (O : in out Extended_Protected_Type);
   procedure Op3 (O : in out Extended_Protected_Type);
end Protected_Object.My_Object.Extended;
…
MO : My_Object_Type;              -- represented as color M in the following Petri net model
Class_Wide_Op1(MO);
…
EP : Extended_Protected_Type;     -- represented as color E in the following Petri net model
Class_Wide_Op1(EP);
…
```

To provide mutual exclusion for procedure Op3, a new procedure Class_Wide_Op3 should be defined:

```
procedure Class_Wide_Op3 (O : in out Extended_Protected_Type'Class) is
begin
   O.L.Lock; Op3(O);                    -- dispatch to the correct operation
   O.L.Unlock;
end Class_Wide_Op3;
```

The object Protected_Object.My_Object.Extended can be modeled by the Petri net in Figure 2.

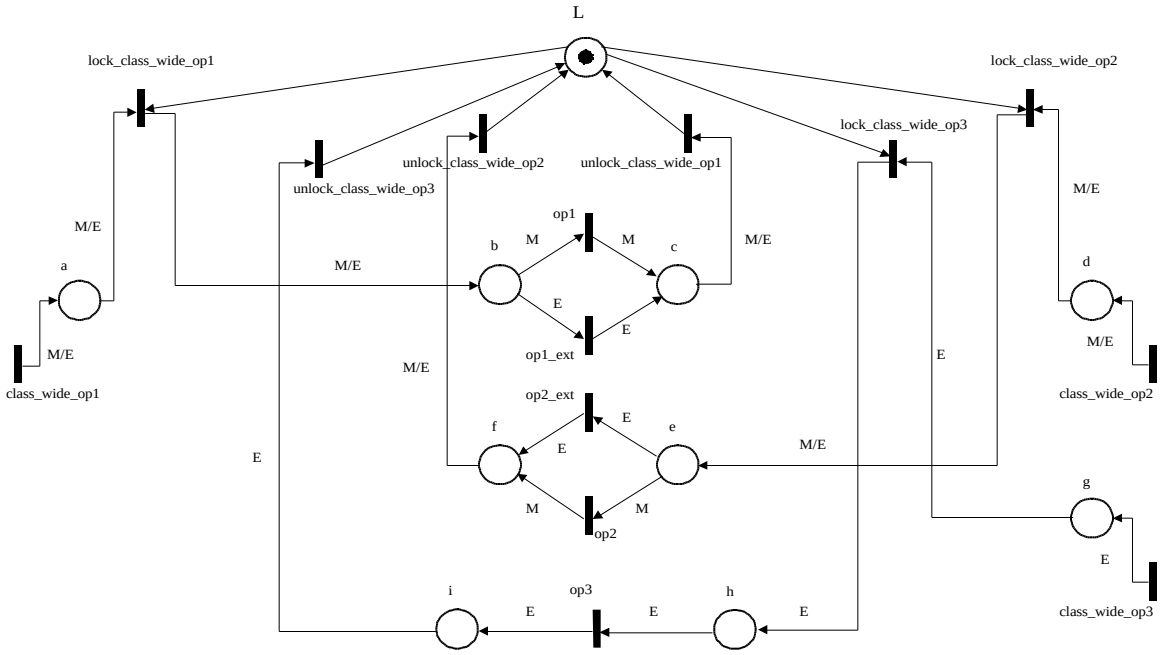

Figure 2. Protected_Object.My_Object.Extended model

When a call to *Class_Wide_Op1* is made with an object of type Extended_Protected_Type, the transition *class_wide_op1* fires. An output token with identity *E* (called the "color" of the token) is put in the place *a*.[2] Color *E* signifies that the call to *Class_Wide_Op1* uses an object of type Extended_Protected_Type. The

[2] This type of Petri net model that uses "colored" tokens (or tokens with attributes) is called a colored Petri net [8]. In colored Petri nets, a transition becomes enabled when its input places have tokens with attributes that match the inscriptions on the corresponding arcs from the place to the transition.

firing of *lock_class_wide_op1* models the gaining of the lock and this transition firing puts an output token of color *E* in place *b*. Note that the firing of transition *op1* or *op1_ext* is dependent on the color of the token in place *b*. If the token color is *M*, meaning that the object type is My_Object_Type, then *op1* would fire. But if the color is *E*, as in the present case, then the transition *op1_ext* will fire. This choice of transition models the dynamic dispatching mechanism. When the transition *op1_ext* fires, an output token of color *E* is put in place *c*. When the procedure execution is complete, the transition *unlock_class_wide_op1* is enabled. Note that this transition can fire with an input token of color *M* or *E*. The lock is released when the transition *unlock_class_wide_op1* fires. Similarly, *Class_Wide_Op2* is modeled in the same way. Although the additional procedure Class_Wide_Op3 is also modeled as the transition *class_wide_op3*, this transition can fire only when the input token color is *E*. This is necessary since *Class_Wide_Op3* is defined only on Extended_Protected_Type and not on My_Object_Type.

2.3 Synchronization using a protected type with data parameters

The third method of providing synchronization among concurrent objects is by using a protected type with the data passed as a discriminant. First, the base type is defined as protected and all of its protected operations are defined as abstract, which means extension of this base type must implement these operations. Then a protected type can be constructed, which has a class-wide access discriminant as a formal parameter and has operations used to dispatch the appropriate operations according to the discriminant. Consider the following example:

```
package Object is
   type Obj_Type is abstract tagged null record;
   protected type Controller (O : access Obj_Type'Class) is
      procedure Op1;  procedure Op2;
   end Controller;
private
   procedure Op1 (O : in out Obj_Type) is abstract;
   procedure Op2 (O : in out Obj_Type) is abstract;
end Object;

procedure Op1 is
begin
   Op1(O.all);
end Op1;

procedure Op2 is
begin
   Op2(O.all);
end Op2;
```

In Object, the base type Controller and its operations Op1 and Op2 are defined as a protected type. The data type Obj_Type'Class is passed to the protected type Controller as a discriminant.

The usage of Object is demonstrated by the following segment of code:

```
package Object.My_Object is
   type My_Obj is private;
private
   type My_Obj is new Obj_Type with record ... end record;
   procedure Op1(O : in out My_Obj);
   procedure Op2(O : in out My_Obj);
```

```
end Object.My_Object;
...
O : aliased My_Obj;
contr : Controller(O'Access);
contr.Op1;
```

Package Object.My_Object extends Obj_Type and defines Op1 and Op2, with parameters of type My_Obj. Mutual exclusion over Op1 and Op2 is ensured by the definition of protected declaration of the Controller. This method has the similar mechanism as the second method. But, one disadvantage of this method is that new operations cannot be added into the Controller, because a protected type cannot be extended. Now consider an extension of Object.My_Object:

```
package Object.My_Object.Extended is
   type My_Obj_Ext is private;
private
   type My_Obj_Ext is new My_Obj with record … end record;
   procedure Op1(O : in out My_Obj_Ext);
   procedure Op2(O : in out My_Obj_Ext);
end Object.My_Object.Extended;
...
OM : aliased My_Obj;          -- represented as color O in the following Petri net model
contr1 : Controller(OM'Access);
contr.Op1;
…
O E: aliased My_Obj_Ext;     -- represented as color E in the following Petri net model
contr2: Controller(OE'Access);
contr2.Op1;
```

The above extension defines a new type, My_Obj_Ext, an extension of My_Obj, and defines procedures Op1 and Op2 for parameters of type My_Obj_Ext. A Petri net model of this situation is shown in Figure 3.

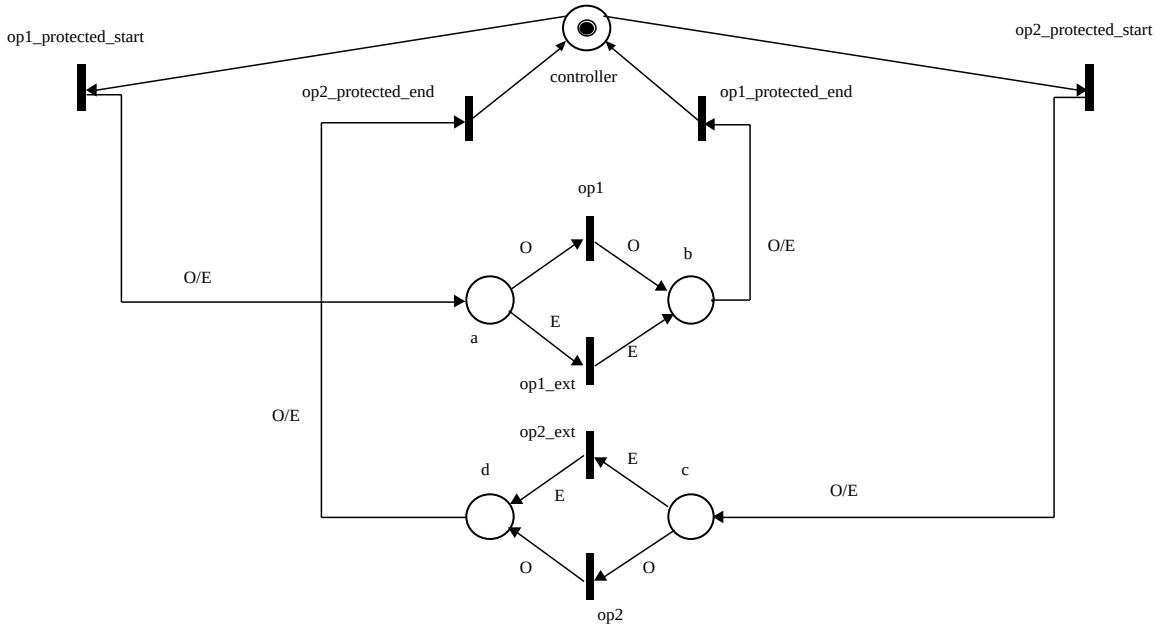

Figure 3. Object.My_Object.Extended model

When a call to Op1 is made by an object of type Controller with a data discriminant of type My_Obj_Ext, the transition *op1_protected_start* fires, and an output token of color *E* is put in place *a*. Color *E* signifies that the data discriminant of the object of type Controller is of the type My_Obj_Ext. Firing of the transition *op1_protected_start* removes the token in *controller* place, thus disabling any other calls to the protected procedures Op1 and Op2. Now, the firing of transition *op1* or *op1_ext* is dependent on the color of the token in place *a*. If the token color is *O*, meaning that the data discriminant of the calling object of type Controller is of the type My_Obj, then transition, *op1* would fire. But, if the token color is *E*, which is the present case, the transition *op1_ext* would fire. Again, this choice of firing of transitions, based on the color of the token in the input place, models the dynamic dispatching mechanism. Now, the transition *op1_protected_end* can fire, taking away a token of color *E* from place *b* and putting an output token in *controller* place. This models the end of the protected operation associated with executing Op1, so other objects waiting to gain access can now proceed.

3. Discussion

3.1 Potential deadlock problem

One disadvantage with the first method is that when the type Object_Synchronized is further extended, there are circumstances that can cause a potential for deadlock [1]. Consider the following extension of Object_Synchronized:

```
package Object.Synchronized.Extended is
   type Extended_Protected_Type is new Protected_Type with private;
   procedure Op1 _ext(O : in out Extended_Protected_Type);
   procedure Op2 _ext(O : in out Extended_Protected_Type);
   procedure Op3 _ext(O : in out Extended_Protected_Type);
private
   type Extended_Protected_Type is new Protected_Type with record … end record;
end Object.Synchronized;

procedure Op1_ext (O : in out Extended_Protected_Type) is
begin
   O.L.Lock;
   -- pre_processing;
   Op1_syn(Protected_Type(O));
   -- post_processing;
   O.L.Unlock;
end Op1;
```

The definition of Op2_ext and Op3_ext remain the same as in Section 2.1. The only difference between this definition of Op1_ext and the one in Section 2.1 is that here there is a call to the procedure Op1_syn defined in Object.Synchronized, rather than the procedure Op1 defined in Object. The Petri net model for this revised version of Object.Synchronized.Extended is shown in Figure 4. Here, to make the deadlock detection more obvious, we ignore the procedures Op2_ext and Op3_ext. They can be modeled exactly the same as in Figure 1. The special arc from place *i* to *post_processing* is an “inhibitor” arc. This enforces that the transition *post_processing* cannot fire when the place *i* contains a token.

In the model of Figure 4, when a call to Op1_ext is made with an object of type Extended_Protected_Type, the transition *op1_ext* fires and a token is deposited into place *a*. The firing of the enabled transition *lock_op1_ext* takes the token from place *L* and deposits an output token in place *b*. This models the acquisition of the lock by the object that called procedure Op1_ext, defined in Object.Synchronized.Extended. The firing of transition *pre_processing* models the starting of some arbitrary data processing. Upon the completion of this data processing, a call is made to Op1_syn with type Protected_Type. The conversion of type is modeled by the firing of the transition *Protected_Type(O)*, and the call is modeled by the firing of the transition *op1_syn*, as was used in the previous model of Figure 1. But now, the procedure Op1_syn tries to again obtain the lock. In this state, where there is a token in place *e* but no token in place *L*, the transition *lock_op1_syn* is not enabled. The resulting deadlock is natually captured in the model by the lack of any enabled transition.

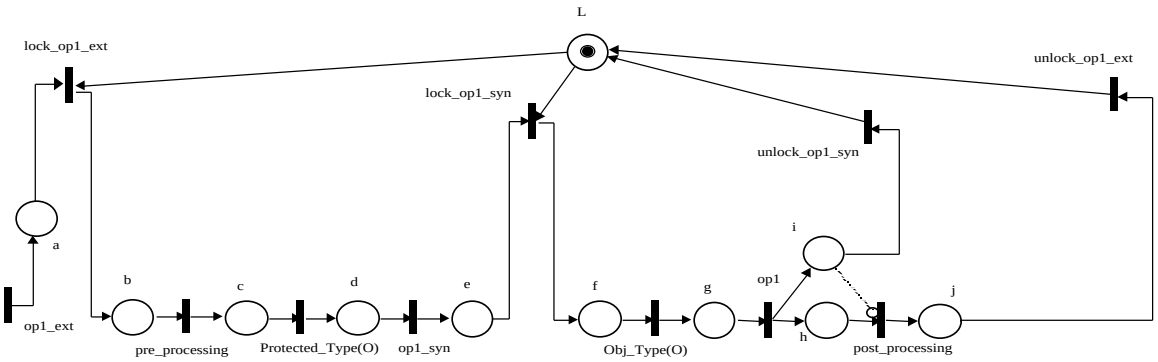

Figure 4. Object.Synchronized.Extended model

3.2 Some comparison comments on the net models

The study of the Petri net models for different methods of providing synchronization among concurrent objects provides us an opportunity to compare them to identify model relationships at the code level. The model in Figure 1 is comparable to the models in Figure 2 and Figure 3. One significant difference among these is the use of colored Petri nets for the later two methods, whereas an uncolored Petri net is sufficient to model the first method. A study of the models reveals that the model in Figure 2 actually contains the models used in Figures 1 and 3. For example, in Figure 2 the firing sequence *class_wide_op1*, *lock_class_wide_op1*, *op1_ext*, *unlock_class_wide_op1* is comparable to the firing sequence *op1_ext*, *lock_ext_op1*, *Obj_Type(O)*, *Op1*, *unlock_ext_op1* in Figure 1 and the firing sequence *op1_protected_start*, *op1_ext*, *op1_protected_end* in Figure 3. A similar situation exists for the firing sequence for *class_wide_op2*. However, consider the firing sequence in Figure 2 for *class_wide_op3*, namely *class_wide_op3*, *lock_class_wide_op3*, *op3_ext*, *unlock_class_wide_op3*. This has a comparable firing sequence *op3_ext*, *lock_ext_op3*, *op3*, *unlock_ext_op3* in Figure 1, but there is no such firing sequence in Figure 3. The reason for this is that in the third method we cannot extend the protected type with respect to adding new protected procedures.

Note that the model in Figure 1 can only accept calls with a parameter of type Extended_Protected_Type, but not of type Protected_Type. On the other hand, both models in Figure 2 and Figure 3 have the

capability of handling all the extensions of the base class. This advantage is due to the dynamic dispatching mechanism in these methods.

4. Conclusion

Formal modeling of the synchronization constructs for concurrent objects in Ada is difficult due to the need to properly capture many behaviors that are interdependent. In this paper, we have presented and discussed a means to model these constructs using the Petri net modeling formalism. Petri nets have been chosen because they tend to provide a visual, and thus easy to understand, model. Also, Petri nets are well matched to the problem due to their inherent support for modeling concurrency, nondeterminism, synchronization, and mutual exclusion. We developed models for three different object synchronization methods. These methods were defined in terms of Ada-95 and presented in [1]. As an example of the value of this type of modeling, we described how a deadlock situation caused by improper object synchronization design can be observed in terms of a standard Petri net deadlock. We also provided some comparison comments on the synchronization methods in terms of their formal model attributes.

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